



International Bankers Forum (IBF) Luxembourg

Invitation

Dear IBF members and friends

We are pleased to invite you to our first

Depository Bank Investor Protection and Brand Consolidation Panel Discussion

Tuesday 25 October 2016, 6.30 p.m.
(Registration starting at 5.45 pm)

Location: Société Générale, 21, rue d'Épernay, Luxembourg-Ville

Entrance on side street opposite train station

The Luxembourg Depository Sector is impacted by new Regulations accompanied by a flurry of Technical Standard, notably UCITS V. The key goal is clearly to strengthen Investor Protection and to reinforce the Brand of the Luxembourg Financial Center.

In this context, the overall Fund Administration Value Chain, Processes and Procedures as well as novel Technological Solutions are being reviewed in order to define future Operating Models.

The panelists will discuss investor protection, applicable current (UCITS, AIFMD) as well as future regulations (PRIIPS, MIFID) and explore potential impacts on the Luxembourg brand.

Panel

Moderated by **Jean Pierre Gomez**, Head of Regulatory & Public Affairs, SGSS

Speakers: **Julien FAUCHER**, Managing Director, Amundi Luxembourg
Serge BALATRE, Head of Business Development Depository & ManCo, SGSS
Corine GERARDY, Chief Operating Officer, NN Investments Partners
Luxembourg S.A.
Benoit SAUVAGE, Senior Advisor, ABBL
Frank ROESSIG, Country Manager, Akeance Consulting

INTERNATIONAL BANKERS FORUM LUXEMBOURG asbl
Mailing address: 33, A Millesch L-6919 Roodt Syre, Email: fischerb@pt.lu
www.ibf.lu

Compte: BCEE IBAN LU 18 0019 1000 0606 0000

Board members: Jörg Agostini, President (UBS Luxembourg S.A.), Reinolf Dibus (independent director), Ronald Kusch (MARK I sarl), Frank Roessig (Akeance Consulting), Tobias Doerholt (Ernst & Young), Dr. Marcus Stutz (Deutsche Bank Luxembourg S.A.), Ralf Strauss (Syngeon S.A.), Herbert Weynand (Wagner Group Luxembourg)

IBF Luxembourg sponsored by

Banque de Luxembourg / Corestate Capital Fund Management / Die Software Peter Fitzon GmbH/ SOWATEC AG



International Bankers Forum (IBF) Luxembourg

Agenda

Introduction	The importance of UCITS V for Luxembourg and its depositary banks.
Panel Discussion	How does the regulatory framework impact the (UCITS) brand? What did UCITS V change for asset managers and depositary banks? What are the most critical challenges you are facing today and in the near future? What did you undertake precisely to protect the investor and/or re-enforce the investor protection? How do you see Luxembourg depositary banks and/or Luxembourg management companies/asset managers evolving with regard to next regulation (MiFID II, PRIIPS,..?) What would you change in the regulation if you could?
Q&A	Questions of the Audience
Networking	Cocktail Reception

The panel discussion is expected to last 90 minutes, followed by a networking cocktail.

The participation is free of charge for IBF members. Non-members shall pay a participation fee of €25.

Please register until October 19th, 2016 by Email to info@ibf.lu

Best regards

The Board of IBF Luxembourg

Event hosted by



INTERNATIONAL BANKERS FORUM LUXEMBOURG asbl
Mailing address: 33, A Millesch L-6919 Roodt Syre, Email: fischerb@pt.lu
www.ibf.lu

Compte: BCEE IBAN LU 18 0019 1000 0606 0000

Board members: Jörg Agostini, President (UBS Luxembourg S.A.), Reinolf Dibus (independent director), Ronald Kusch (MARK I sarl), Frank Roessig (Akeance Consulting), Tobias Doerholt (Ernst & Young), Dr. Marcus Stutz (Deutsche Bank Luxembourg S.A.), Ralf Strauss (Syngeon S.A.), Herbert Weynand (Wagner Group Luxembourg)

IBF Luxembourg sponsored by

Banque de Luxembourg / Corestate Capital Fund Management / Die Software Peter Fitzon GmbH/ SOWATEC AG



International Bankers Forum (IBF) Luxembourg

Biographies



Jean-Pierre GOMEZ
Head of Regulatory &
Public Affairs
Société Générale
Securities Services in
Luxembourg

In this role, he is responsible for developing the business strategy and the visibility of SGSS in Luxembourg. He has 25 years of experience in the investment funds industry.

Prior to joining SGSS in 2009, Jean Pierre held several senior positions in collective investment, custody and fund administration, worked as consultant for 3 years and served as director for a number of fund management companies and funds. He has substantial and extensive client management experience covering a broad range of fund administration services (including the core services of custody, fund accounting and transfer agency), compliance and funds corporate services.

Jean-Pierre contributes actively to ALFI and LPEA working groups, the Luxembourg funds industry trade associations aiming at promoting Luxembourg as main European Centre for investment funds. He is also a lecturer at the Luxembourg Institute for Training in Banking (IFBL). He is a regular speaker at international seminars and conferences and was involved in MiFID II workshops organized by Markus FERBER at the European Parliament in 2015



Julien FAUCHER
Managing Director
Amundi Luxembourg

Julien Faucher began his career in the credit insurance business in 1993 before joining the General Inspection of Caisse Nationale de Crédit Agricole (now Crédit Agricole SA) in 1995.

He joined Crédit Agricole Asset Management (now Amundi) in 2001 and occupied the following control and operational positions : Head of Internal Audit of CAAM (2001-2006), Chief Operating Officer of Amundi Japan (2006-2011), Amundi Group Chief Compliance Officer (2011-2013) and Managing Director of Amundi Luxembourg since 2014.

Julien is a graduate from the EM Lyon business school.

INTERNATIONAL BANKERS FORUM LUXEMBOURG asbl

Mailing address: 33, A Millesch L-6919 Roodt Syre, Email: fischerb@pt.lu

www.ibf.lu

Compte: BCEE IBAN LU 18 0019 1000 0606 0000

Board members: Jörg Agostini, President (UBS Luxembourg S.A.), Reinolf Dibus (independent director), Ronald Kusch (MARK I sarl), Frank Roessig (Akeance Consulting), Tobias Doerholt (Ernst & Young), Dr. Marcus Stutz (Deutsche Bank Luxembourg S.A.), Ralf Strauss (Syngeon S.A.), Herbert Weynand (Wagner Group Luxembourg)

IBF Luxembourg sponsored by

Banque de Luxembourg / Corestate Capital Fund Management / Die Software Peter Fitzon GmbH/ SOWATEC AG



International Bankers Forum (IBF) Luxembourg



Serge BALATRE
Head of Business
Development Depository
& ManCo
Société Générale
Securities Services in
Luxembourg

Serge Balatre is Head of Business Development for Depository and ManCo services.

Serge studied economics at Sophia Antipolis in France, where he graduated in Finance. He has over 20 years experience in the financial industry and began his career in 1994 at Palais Brongniart as Market Maker at Crédit Agricole. He then worked three years for Dexia Credit Local in Paris as a market risks controller. In 2001, he joined Invesco Asset Management to become Western Europe Operations Manager.

In 2007, Serge joined Societe Generale first within Euro VL Group as Sales and Relationship manager, and then as Director of the Depotbank in Germany until April 2013.



Corine GERARDY
Chief Operating Officer
NN Investment Partners
Luxembourg S.A.

Corine has an experience of more than 25 years in the financial industry in Luxembourg mainly in Operations.

In previous roles she developed her experience in Custody and Fund Administration with respectively positions as Deputy Head of Back Office and Head of Fund Administration for dedicated clients. She also acquired competence in project monitoring, such as the change of the back-office system and the migration of strategic clients.

In 2012 she joined NN Investment Partners Luxembourg S.A (formerly known as ING Investment Management) as COO. Currently Corine is also a Conducting Officer in charge of Operations and Technology and a member of the board of directors of NN IP Luxembourg S.A.

INTERNATIONAL BANKERS FORUM LUXEMBOURG asbl

Mailing address: 33, A Millesch L-6919 Roodt Syre, Email: fischerb@pt.lu

www.ibf.lu

Compte: BCEE IBAN LU 18 0019 1000 0606 0000

Board members: Jörg Agostini, President (UBS Luxembourg S.A.), Reinolf Dibus (independent director), Ronald Kusch (MARK I sarl), Frank Roessig (Akeance Consulting), Tobias Doerholt (Ernst & Young), Dr. Marcus Stutz (Deutsche Bank Luxembourg S.A.), Ralf Strauss (Syngeon S.A.), Herbert Weynand (Wagner Group Luxembourg)

IBF Luxembourg sponsored by

Banque de Luxembourg / Corestate Capital Fund Management / Die Software Peter Fitzon GmbH/ SOWATEC AG



International Bankers Forum (IBF) Luxembourg



Benoit SAUVAGE

Senior Adviser for
Financial Market
Regulations

ABBL - Luxembourg
Bankers' Association

As Senior Adviser for Financial Market Regulations at the ABBL, Benoît Sauvage covers financial markets and securities regulations, mostly from an EU perspective. He is notably in charge of the Financial Market Committee and the Securities Market Committee in the ABBL. He has under his responsibility dossiers such as: CMU, MIFID, EMIR, CSD-R, UCITS V and AIFMD.

Before the ABBL, he has worked on Alternative Products for Private Banking at ING where he was in charge of the analysis, selection and internal promotion of funds for private banking clients.

Benoit holds a Master of management from the University of Liège and a Bachelor Degree in Management from the Haute Ecole de commerce of Liège.



Frank ROESSIG

Country Manager

Akeance Consulting

Frank has an international track record in Business Development, Financial Structuring as well as in Operations Management.

As Country Manager of Akeance Luxembourg, he supports Asset Managers and Financial Institutions with the management of Risk, Compliance, Operations plus Finances as well as with their Business Development and Digital Strategies.

He developed his longstanding Financial Sector experience as Managing Director of an Investment Bank, as Head of the Structured Credit Portfolio at a Covered Bond Bank and as Global Relationship Manager for Multinational Corporates at a Universal Bank.

INTERNATIONAL BANKERS FORUM LUXEMBOURG asbl

Mailing address: 33, A Millesch L-6919 Roodt Syre, Email: fischerb@pt.lu

www.ibf.lu

Compte: BCEE IBAN LU 18 0019 1000 0606 0000

Board members: Jörg Agostini, President (UBS Luxembourg S.A.), Reinolf Dibus (independent director), Ronald Kusch (MARK I sarl), Frank Roessig (Akeance Consulting), Tobias Doerholt (Ernst & Young), Dr. Marcus Stutz (Deutsche Bank Luxembourg S.A.), Ralf Strauss (Syngeon S.A.), Herbert Weynand (Wagner Group Luxembourg)

IBF Luxembourg sponsored by

Banque de Luxembourg / Corestate Capital Fund Management / Die Software Peter Fitzon GmbH/ SOWATEC AG