



**SGSS SOLUTIONS TO
FACILITATE YOUR
SHAREHOLDERS' RIGHTS**

29/07/2021

**C'EST VOUS
L'AVENIR**



SOCIETE GENERALE
Securities Services

THE EXERCISE OF VOTING RIGHTS: AN ESSENTIAL PART OF RESPONSIBLE INVESTMENT STRATEGY

As an investor, your commitment has a direct impact on the sustainable growth of the listed companies in which you invest.

Exercising your right to vote gives you the opportunity to:



Engage in an active dialogue with the company



Exercise your ability to influence



Align your voting policy with your ESG commitments to your customers.



By participating in the voting process, you contribute to the sustainability of the company, to its performance by giving the same guideline to your investments and commitments, and **exercising your social and environmental responsibility.**

SGSS ACCOMPANIES YOU AND FACILITATES THE EXERCISE OF YOUR SHAREHOLDER RIGHTS

As a custodian account keeper, SGSS provides its institutional clients with a system that **simplifies the exercise of voting rights and tailor-made support.**

A COMPLETE OFFER IN ACCORDANCE WITH YOUR EXPECTATIONS AND IN COMPLIANCE WITH REGULATORY REQUIREMENTS

The SGSS offer includes:



Announcements of general meetings: in consultation with the ProxyEdge platform.



Up-to-date information on voting models as well as the specificities of the markets or issuers (requirements for registration of securities, requisite authority to be implemented, obligation of physical ballot papers, necessary account structures, etc.).



The routing of your voting instructions to the centralizing agent of the issuer concerned.



Consultation of your voting history and access to a statistics generating module to help your voting reports.

TRANSPARENCY

SIMPLICITY

EXPERTISE



SGSS OFFERS YOU:

1

**EASY ACCESS TO
PROXY VOTING
PROVIDERS**



2

**AN INDEPENDENT
ASSESSMENT OF
NON-FINANCIAL
PERFORMANCE OF
YOUR INVESTMENTS**

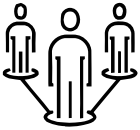


VOTE & ESG

In order to enable you to exercise your shareholder rights in line with your commitments and investment policy, SGSS can activate access to the voting recommendations of 19 proxy voting providers providing voting proposals in line with your objectives.

As a partner committed to responsible investment, SGSS provides you with a clear and synthetic view of the positioning of your investments in terms of carbon footprint but also in terms of governance quality and quality of management of environmental and social aspects thanks to its partnership with MSCI.

OBJECTIVES OF THE SHAREHOLDER RIGHTS DIRECTIVE II

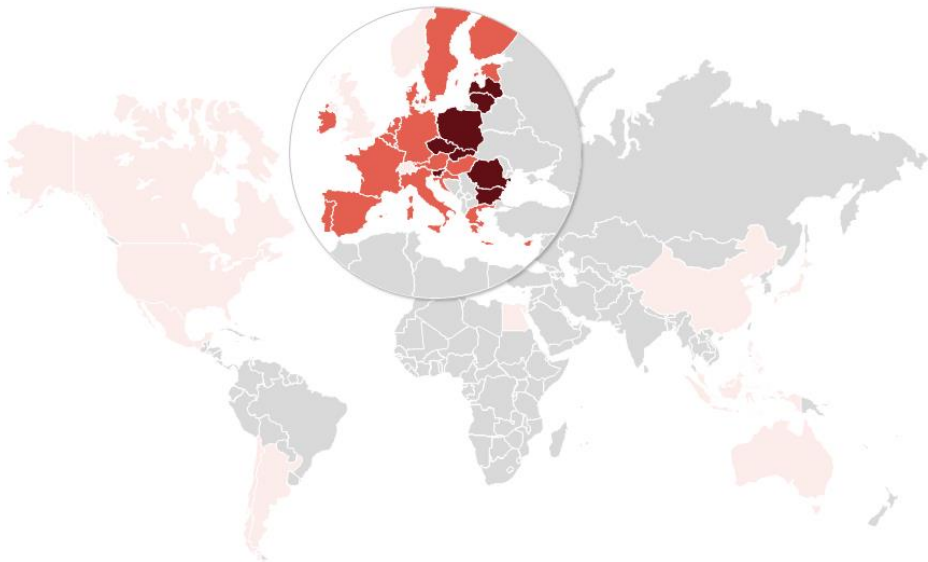


Promoting long-term shareholder engagement



Ensuring the long-term viability of European companies

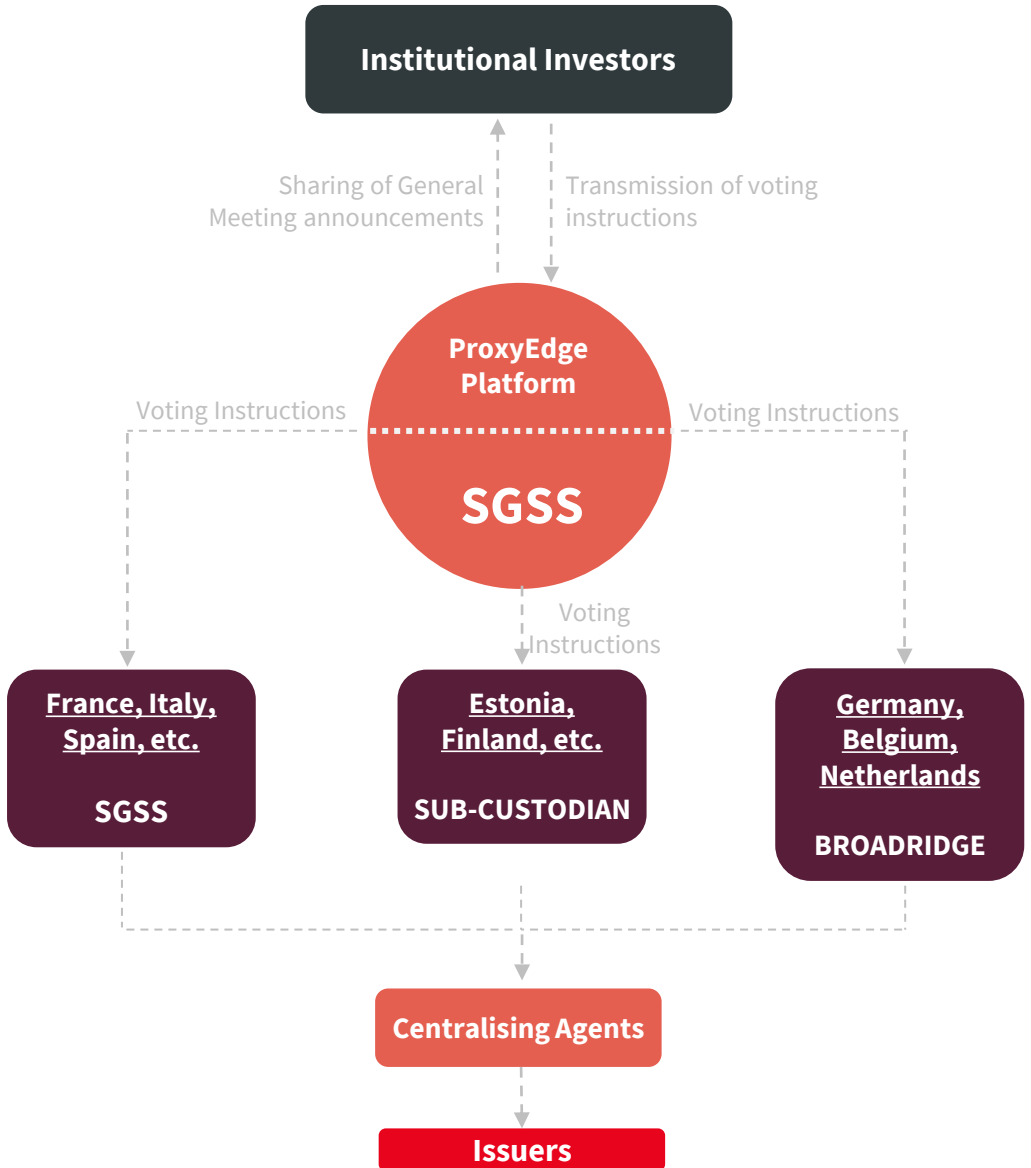
A SINGLE COVERAGE IN MORE THAN 45 COUNTRIES SERVING THE OBJECTIVES OF SRD II



- EU markets covered
- Proposed EU markets, open on request
- Other markets covered

A SINGLE INTERMEDIARY FOR YOUR VOTING INSTRUCTIONS

SGSS has teamed up with its partner Broadridge Financial Solutions Ltd to offer you a simplified service for managing your voting instructions.



As custodian, **SGSS has taken the necessary steps to upgrade its services** to meet the new regulatory framework set by Directive (EU) 2017/ 828.

If you are acting on **your own account**, here are the evolutions put in place:



Identification of shareholders and transmission of names and contact details by SGSS if requested by issuers.



Transmission without delay of information specific to corporate events by SGSS so that shareholders can exercise their rights, **even if the announcement received from the market is incomplete.**



Facilitating the exercise of shareholders' rights: transparency of the SGSS tariff grid and extension of our European coverage proposal (eight additional Member States).



If you are a **Financial Intermediary acting on behalf of third parties**, here are the changes implemented by SGSS:



Identification of shareholders: Transmission by SGSS of the identification of shareholders in position in our books to the issuer, and **transmission of the requests by SGSS to our Financial Intermediary clients acting on behalf of third parties, so that the clients can provide the identification of their own customers to the issuer.**



Transmission without delay of information specific to corporate events by SGSS so that shareholders can exercise their rights, **even if the announcement received from the market is incomplete.**



Facilitating the exercise of shareholders' rights: Extension of our European coverage proposal (eight additional Member States) and **transmission of the identification of the final shareholders in the voting instructions.**



PRICE LIST AS OF JULY 29, 2021

General Meeting		Unit commission (€ excl. VAT)
Access to the Broadridge tool (ProxyEdge)		Free
Invoicing of announcements relating to General Meetings		
All countries		10
Invoicing of votes		
Zone 1	France	40
Zone 2	Belgium, Bulgaria, Canada, Croatia, Denmark, Egypt, Germany, Luxembourg, Malaysia, Mexico, Netherlands, Norway, Spain, Singapore, Sweden, United Kingdom, USA.	60
Zone 3	Argentina, Australia, Austria, Chile, China, Cyprus, Euroclear Bank, Greece, Hong-Kong, Indonesia, Ireland.	100
Zone 4	Estonia, Hungary, India, Italy, Japan, Lithuania, Latvia, Philippines, Czech Republic, Romania, Slovakia, Slovenia.	200
Zone 5	Finland, Israel, Poland, Portugal, Switzerland, Jordan.	300
Invoicing of votes (funds)		
All countries		200
SHAREHOLDER IDENTIFICATION(*)		Unit commission (€ excl. VAT)
Fixed commission per request		165
Commission per line (by identified holder)		0,08

(*) Commission applicable in France only.

For securities within the SRD2 scope, sending of data only with secure data exchange according to **ISO 20022 standards**

Please contact your SGSS representative to discuss next steps and implementation.



ADVANTAGES OF SGSS

SIMPLICITY

Exercising shareholder rights is simplified as a result of the consolidation of data onto a single operating platform.

RELIABILITY

SGSS coordinates the entire process of transmitting voting instructions, ensuring the robustness of the transmission process between cross-border participants.

COMMITMENT

In line with its strong commitment to social and environmental responsibility, SGSS is making best efforts to develop its processing chains for the greater benefit of its investor and issuer clients.

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EXPERTISE

Thanks to our partnership with Broadridge Financial Solutions Ltd, SGSS provides you with timely and accurate information on each market, to enable you to exercise your shareholder rights efficiently.

TRANSPARENCY

In an increasingly responsible approach, SGSS provides its clients with a complete, detailed and transparent view of its entire offering.

SGSS IS THE ENTITY OF THE GROUP SOCIETE GENERALE DEDICATED TO SECURITIES SERVICES

Present in 27 locations worldwide with 4,000 employees, SGSS offers its clients, financial institutions and brokers, asset management companies and insurers, global solutions adapted to the latest developments in financial markets and regulations: clearing, custody and trustee services, retail custody, fund administration and asset servicing, cash management, transfer agent and issuer services. SGSS ranks among the top 10 global custodians and 2nd European custodian with EUR 4,315* billion in assets under custody. SGSS offers its custodian services to 3,325* UCIs and ensures the valuation of 4,180* UCIs for assets under administration of EUR 638* billion. SGSS is also one of the European leaders in the administration of stock option plans.

* Sources: Group presentation and SGSS internal report. Figures dated 31.12.20

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